

),9(

7KDWKH %R(XXCF DRVLDGRSWSH HURS RDVH HQ GPHQWV DQG RUKDVSFWMVLRQ RDUG 5XOH  
DV SDVW H UHEXLHQHQ DO SROLF\ DQG %RDUG UXOH UHYLHZ 7KHVH %RD  
FRPPHQW PDUFK XQWLO \$SULO

7KH ILVFDW KH DLR B,SDFW XL ÅfC xOà

~~\_\_\_\_\_ %RDUG RFDVLDGQ 'LVWDDHW SHQ RQ  
ORQG V KUR)XULGIDFK ZHHN IUDRROGG BQMDKH HZODRVL QKH~~

~~\_\_\_\_\_ WKDRG DQDQXDWYELUWRSD\  
ODUWLQKHQJU )HEUXDUXLQFRELOIWKCH WKLUG ORQG DVUHQY)GHWV\I  
WKHLUWQCBIDUFWYELUWRSDVLDXODWKLH ORQW\ LQDDRUDDOXO\  
QGHSHQCHQW KHLUWQCGDGHSHWHPEDHURD\ WKVHFRQCGDQWKH  
IRUHJRWLKHDD~~

~~\_\_\_\_\_ DGRS WFKRTDQ HQGDDQGLFDEWHVUKRGLZBLVDUGLHHUHQW  
TURWKRLVGLFDQWKEVOLQ ZKEBWKH JHQHUDKIRI%RDUG DWLRQ DQG 'LVWU  
VKDDHFORQHGKH REKRLGDDVJQDWKHC %RDUG VFSURRIFD OHQGDU~~

D \*HQUHDKLOM %RDUG UXOH VHWV IRUWKQDGHBRDQKQURPHFDWWLQJ  
E 3XUSR/KH%RDUBFRJQLKHSRUWRDQEHHFDVWYLQXWVWUHV RXWDFGV  
H[SHQGLMQRUBW XQGHU WWDQGHIXQGIDYDLORDELOHWBSFW D\$VRQV  
WUXVRWVFRPPXQAGDQWPHFDSRUJVLXKDDD €pA #Saf0/(7• #Saf0(GD5WdP

QFODXGHH \HDURUHFRVHQHUXDQGHYHQDQV[SHQGLWQFUXVGLQJ  
SSURSUBDKHRIQIHLQWEDDQDQDGLWLRQDDWHRQHEWHG  
/KH %RDUG  
/SDDUHQDRQLWRULQJ  
HSR\$WVWVXDRKXOH

VKDOO EH ILOHG ZL\$KEVDKIHQFVWUHGIRQVWV  
UHJXDDUG PHHWLQWKRGDREBWWRRQKH %RDUG  
LL 7KH%RDUGJGFUHWDDONBYDLORQVXKH RDUGZHEV DQHSRIUDDCG  
UHFHLYHG E\ WKH %RDUG SXUVXDQW WR WKLW 5XOH

7 K % R D I G U H E H O H J W W K N K L H I Q D Q F I I D R H Q G  
W K H U H D V R W K H R D W G H X W K R U R Q W H W W K R R Q G Q Y H V W E M Q W X P D X W W R U L J H G  
E \ O D Z K H & K L H I L Q D Q E L I D D R I U U H D V R U W K H R D V G S X C U F K D Q E H D Q Q Y H V W P H Q W  
L Q V W U X P H Q W R W R K Q E H R D U G W S R O D X Z D Q Q G W K Y H % R D H Q W 3 R O L F \

\$ O P R Q H P R Q L U N F H L Y O W G H X Q F K V R E P H R U Z D U L Q H G  
D F F R U G D Q W W K H F K H G X O W D E O E L W K L G H I L Q D Q E L I D G W U R D X F D U U F R P S D Q \  
D S S U R E Y H G K H R D B G G A T D W R A D D Y G K H G R X O D U P R U F D G H Q G R U G H S R V L Q H G  
/ X Q F K U R X P G R E W Q O B A F E R ö € Δ p € p  
E W Y N U S S O G A A Q D U ' , W , , 2 # D ? A i " " % R D U G

**D.E**

SXEOLF 7KH LGHQWLW\ RI WKH HPSOR\HH RU /RFDO 6FKRRO &RXQFLO 0HI  
ZULWWHQ FRQVHQW RI WKH HPSOR\HH RU PHPEHU GXULQJ DQ\ LQYHVWLJD  
)RU WKH SXUSRVHV RI WKLV 6HFWLRQ GLVFLSOLQDU\ DFWLRQ PHDQV I  
HPSOR\HH RU ORFDO VFKRRO FRXQFLO PHPEHU E\ WKH %RDUG RI (GXFI  
(GXFDWLRQ /RFDO 6FKRRO &RXQFLOV RU H[FOXVLYH EDUJDLQLQJ UHSUH  
OLPLWHG WR UHSULPDQG VXVSHQVLRQ GLVFKDUJH GHPRWLRQ LQYRC  
SURPRWLRQ RU YROXQWDU\ WUDQVIHU \$ YLRODWLRQ RI WKLV 5XOH PD  
WHUPLQDWLRQ

7KH &KLHI ([HFXWLYH 2IILFHU RU GHVLJQHH VKDOO S  
DQQXDO QRWLFH UHJDUGLQJ WKH DSSURSULDWH XVH DQG VDIHJDUGL  
IXQGLQJ VRXUFH REOLJDWLRQV DQG UHVSQVLELOLWLHV WR SUHYHQW PI


3XSLOV PXVW UHVLGH ZLWKLQ WKH OLPLWV RI  
DWWHQG D &KLFDJR 3XEOLF 6FKRRO )RU SXUSRVHV RI HQUROOLQJ RU F  
.QRZOHGJH PDJQHW VHOHFWLYH HQUROOPHQW RU RWKHU \*R&36 VFKRRO  
&KLFDJR UHVLGHQF\ RQ <sup>QV</sup>BULFHU RPHWK\ WWDUW RI WKH XSFRPLQJ VFKRRO \

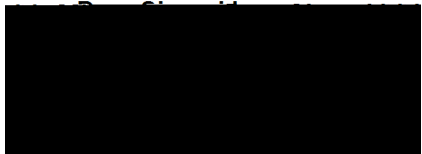
6HVBPÀU

RQ ° `€

SXUFKDV LQJ DQG UHSODFLQJ IL[WXUHV JHQHUDOO\ XVHG LQ VXFK EX  
SXUFKDV LQJ RU RWKHUZLVH DFTXLULQJ DQG LPSURYLQJ VLWHV IRU  
DQG  
SD\PHQW RI EURNHU DJH DQG RU FRPPLVVLRQ IHHV IRU VHUYLFHV UH  
UHDO HVWDWH

6DOHV RU RWKHU GLVSRVLWLRQ RI UHDO HVWDWH SXUFKDVHG LQ ZKRO  
WD[ H[HPSW ERQGV DUH VXEMHFW WR WKH UHTXLUHPHQWV RI WKH ,QWHU  
WKH &RGH DQG WKH UHODWHG UHODWHG UHODWHG UHODWHG UHODWHG  
DFFRUGDQFH ZLWK WKH &RGH DQG WKH UHODWHG UHODWHG UHODWHG UHODWHG

DocuSigned by:  




DS  